

#8

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 01-1693-F)

In re Application of:)
James P. Beck et al.)
Serial No.: 09/895,871) Group Art Unit: 1645
Filed: June 29, 2001) Examiner: not assigned
For: Compounds to Treat Alzheimer's Disease)

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Commissioner for Patents
Washington, DC 20231

POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST
(REVOCATION OF PRIOR POWERS)

As assignee of record of the entire interest of the above identified

☒ application

☐ patent

REVOCATION OF PRIOR POWERS OF ATTORNEY

all powers of attorney previously given are hereby revoked and

NEW POWER OF ATTORNEY

The undersigned hereby appoints all of the practitioners associated with the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and directs that all correspondence be addressed to that Customer Number:

Customer Number: 020306
Principal attorney or agent: Steven J. Sarussi
Telephone number: 312-913-0001

Assignees of Entire Interest:

Name: Elan Pharmaceuticals, Inc.
Address: 800 Gateway Blvd., South San Francisco, CA 94080

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Name: Pharmacia & Upjohn Company
Address: 301 Henrietta Street, Kalamazoo, MI 49007

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EVIDENCE AND CERTIFICATION OF CHAIN OF TITLE

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ASSIGNEE CERTIFICATION

In accordance with 37 C.F.R. § 3.73 the assignees hereby certify that the evidentiary documents with respect to its ownership have been reviewed and that, to the best of assignees' knowledge and belief, title is in the assignee seeking to take this action.

Date: 4-16-02



Signature

 Name: Jean M. Duvall

Title: Elan Pharmaceuticals, Inc.

Vice President, Intellectual Property

Date: _____

Signature

Name:

Title:

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Date: _____

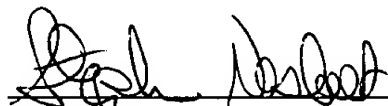
Signature

Name: Jean M. Duvall

Title: Elan Pharmaceuticals, Inc.

Vice-President Intellectual Property

Date: 4/22/02



Signature

Name: STEPHEN L. NESBITT

Title: ASSISTANT GENERAL COUNSEL & DIRECTOR

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Patent and Trademark Office

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Lawrence Y. Fang
Roy Hom
Varghese John
Michel Maillard

2. Name and address of receiving party(ies):

Elan Pharmaceuticals, Inc.
800 Gateway Blvd.
South San Francisco, CA 94080

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Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ NoAdditional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒
☐
☐

Assignment

Security Agreement

Other:

☐
☐

Merger

Change of Name

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Execution Date: June 28, 2001 and November 9, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/895,871

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Anna M. Nelson
Address: Merchant & Gould P.C.
P.O. Box 2903
Minneapolis, MN 55402-0903

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☒
☐

Enclosed

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8. Please charge any additional fees or credit any overpayments to our Deposit account number: 13-2725

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Anna M. Nelson

Name of Person Signing

Signature

November 16, 2001

Date

Total number of pages including cover sheet, attachments, and document: 8

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ASSIGNMENT

WHEREAS, we, Lawrence Y. Fang, 1193 Beach Park, Blvd., Foster City, CA 94404, Roy Hom, residing at 82 Ina Court, San Francisco, CA 94112, Varghese John, residing at 1722 18th Avenue, San Francisco, CA 94122 and Michel Maillard, residing at 291 Shorebird Circle, Redwood Shores, CA 94064, made certain new and useful inventions and improvements for which we filed an application for Letters Patent of the United States on June 29, 2001, which is entitled Compounds to Treat Alzheimer's Disease.

AND WHEREAS, Elan Pharmaceuticals, Inc., a corporation organized and existing under and by virtue of the laws of the State of Delaware, and having an office and place of business at 800 Gateway Blvd., South San Francisco, CA 94080 (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the

entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 9 day of
NOVEMBER, 2001.

Lawrence Y. Fang

Roy Hom

Varghese John

Michel Maillard
Michel Maillard



CALIF RNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of

San Mateo

ss.

On 11/9/01

Date

before me,

Franklin W. Dyer, Notary Public

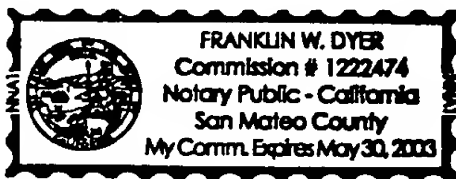
Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared

Michel Maillard

Name(s) of Signer(s)

- ☒ personally known to me
☐ proved to me on the basis of satisfactory evidence



to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Place Notary Seal Above

Signature of Notary Public

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Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document:

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Document Date:

Number of Pages:

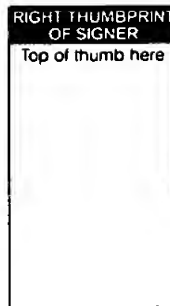
Signer(s) Other Than Named Above:

Capacity(ies) Claimed by Signer

Signer's Name:

- ☐ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____



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OFFICE OF PETITIONS

ASSIGNMENT

WHEREAS, we, Lawrence Y. Fang, 1193 Beach Park, Blvd., Foster City, CA 94404, Roy Hom, residing at ~~480 Warren Drive, #301~~ ^{66 82 Ina Court} ~~San Francisco, CA 94131~~ ⁹⁴¹¹², Varghese John, residing at 1722 18th Avenue, San Francisco, CA 94122 and Michel Maillaird, residing at 219 Shorebird Circle, Redwood Shores, CA 94065, made certain new and useful inventions and improvements for which we filed an application for Letters Patent of the United States on June 29, 2001, which is entitled Compounds to Treat Alzheimer's Disease.

AND WHEREAS, Elan Pharmaceuticals, Inc., a corporation organized and existing under and by virtue of the laws of the State of Delaware, and having an office and place of business at 800 Gateway Blvd., South San Francisco, CA 94080 (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this _____ day of _____, 20____.

Lawrence Y. Fang

STATE OF _____)
) ss.
COUNTY OF _____)

On this _____ day of _____, 20____, before me personally appeared Lawrence Y. Fang to me known and known to me to be the person described in and who executed the foregoing instrument, and she duly acknowledged to me that she executed the same for the uses and purposes therein set forth.

[SEAL]

Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this _____ day of

_____, 20____.

Roy Hom

STATE OF _____)
) ss.
COUNTY OF _____)

On this _____ day of _____, 20____, before me personally appeared Roy Hom
to me known and known to me to be the person described in and who executed the foregoing instrument,
and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]

Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this _____ day of

_____, 20__.

Varghese John

STATE OF _____)
) ss.
COUNTY OF _____)

On this _____ day of _____, 20____, before me personally appeared Varghese John to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]

Notary Public

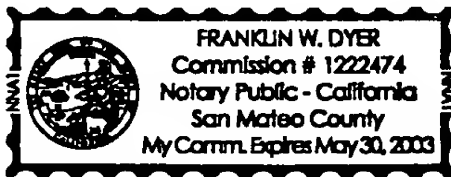
CALIF RNIA ALL-PURPOSE ACKN WLEDGMENT

State of California

County of San Mateo } ss.

On 6/28/01, before me, Franklin W. Dyer, Notary Public
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")
personally appeared Lawrence Y. Fang, Varghese John, Roy Hom
Name(s) of Signer(s)

- ☒ personally known to me
☐ proved to me on the basis of satisfactory evidence



to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Place Notary Seal Above

Franklin W. Dyer
Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: ASSIGNMENT

Document Date: _____ Number of Pages: _____

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer

Signer's Name: _____

- ☐ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER
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Receipt is hereby acknowledged for the following in the U.S. Patent and Trademark Office:

In re Application of: FANG ET AL.
For: COMPOUNDS TO TREAT ALZHEIMER'S DISEASE
Serial No.: 09/895,871
Docket No.: 13615.21USU1
Filed: JUNE 29, 2001

Date Mailed: NOVEMBER 16, 2001

Recordation Cover Sheet
Assignment
Check for \$40.00 to cover Recordation Fee

Patent

AMN/vh